

### STATE OF ARIZONA

## DEPARTMENT OF TRANSPORTATION PROCUREMENT



#### **ELECTRONIC REQUEST FOR QUOTES**

ADOT SOLICITATION REFERENCE NUMBER: T07-49-00014

Commodity Code: 0918- 0009, 0062; 0924-0019

Description: SPR 639 Effectiveness of Various Pre-Emergent Herbicides

DUE DATE: October 31, 2006 at 5:00 P.M. MST

DATE POSTED: September 22, 2006

PRE-BID CONFERENCE: Not Applicable

**Submittal Location:** Arizona Department of Transportation

**Procurement Group** 

1739 W. Jackson Street, Suite A MD 100P

Phoenix, Arizona 85007-3276

REPLY TO: FAX: (602) 712-8647

Responsible Contract Officer: Karie Ingles, CPPB Phone: (602) 712-8505

TOTAL AGGREGATE AMOUNT FOR THIS CONTRACT WILL NOT EXCEED \$50,000.00. PROCUREMENTS LESS THAN \$50,000.00 ARE RESTRICTED TO SMALL BUSINESSES. A SMALL BUSINESS IS ONE THAT, INCLUDING ITS AFFILIATES, IS INDEPENDENTLY OWNED AND OPERATED, IS NOT DOMINANT IN THE TYPE OF BUSINESS IT CONDUCTS, AND WHICH EMPLOYS FEWER THAN 100 FULL TIME EMPLOYEES OR WHICH HAS GROSS RECEIPTS OF LESS THAN \$4 MILLION IN ITS LAST FISCAL YEAR.

#### "An Equal Employment Opportunity Agency"

The Arizona Department of Transportation is committed to the principles of Equal Employment Opportunity. To ensure dissemination of the Equal Opportunity program throughout all levels of the department, the ADOT Civil Rights Deputy Administrator serves as the Equal Opportunity Administrator for the Arizona Department of Transportation.

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#### 1.0 Statement of Need

The Arizona Department of Transportation (ADOT), Arizona Transportation Research Center (ATRC) has a need for a firm or individual (hereinafter referred to as Contractor) to provide a report that can be used to evaluate the cost-effectiveness of selected pre-emergent herbicides (i.e., a chemical applied for the control of undesired vegetation by preventing seedling emergence during germination) along state highway road sides.

#### 1.1 <u>Problem Description</u>

Currently, ADOT does not have specifications describing one or more product(s) that are proven to control weeds better than others. The selection of a type of pre-emergent herbicide is often left up to the contractor to decide, resulting in less quality products being used and undesirable weed growth.

ADOT needs to do a comparison study of the effectiveness of various pre-emergent herbicides predominantly used for the control of weeds within the urban freeway landscape system.

#### 1.2 <u>Project Objectives</u>

- 1. Review the literature to assess the potential effectiveness of selected herbicides
- 2. Recommend one or more herbicides for a field test.
- 3. Recommend which herbicides ought to be used on ADOT highway roadsides.

#### 1.3 Work Plan

- 1.3.1 The following work tasks are intended to provide a framework for conducting the research and are considered to be the minimum. Projects typically are completed within a one to two year period.
- 1.3.2 <u>Task 1</u>: The Contractor shall present an outline of a work plan. Intermediate deliverables shall be identified in the work plan and any progress payments associated with these deliverables shall be specified. The Technical Advisory Committee (TAC) will review the scope of work and work plan. At this time, any revisions needed shall be made. **Deliverable**: A work plan acceptable to the TAC.
- 1.3.3 Task 2: Review the literature on pre-emergent herbicides used and offered for use to control roadside weeds and recommend one or more products for an ADOT field test. **Deliverable**: Document the findings in a manner that is acceptable to the TAC and which shall be suitable for inclusion in the final report.
- 1.3.4 <u>Task 3</u> Design a protocol for ADOT to follow in conducting its field test. **Deliverable**: Document the findings in a manner that is acceptable to the TAC and which shall be suitable for inclusion in the final report.
- 1.3.5 <u>Task 4</u> Do an "after" evaluation of the test sites and interview selected ADOT personnel to get their opinions on the relative effectiveness of the tested herbicides. **Deliverable**: Document the findings in a manner that is acceptable to the TAC and which shall be suitable for inclusion in the final report.
- Task 5: Contractor shall submit a Project Final Report and a four-page Research Note to ATRC. The Final Report shall contain the data and explanatory narrative sufficient to document the Project goals, scope, methods used in the research, activities and issues, results, recommendations and all other requirements specified in this Solicitation. The Final Report shall include suggestions on how to implement recommendations made. Electronic copies of the Final Report shall be provided in mutually agreed upon formats. The Final Report shall conform to the version of the ATRC document, Guidelines for Preparing ATRC Research Reports, which is in effect at the time this Contract is executed. At Contractor's request ATRC will provide Contractor a printed or electronic copy of the Guidelines or the document may be found on the Internet at: <a href="http://www.dot.state.az.us/ABOUT/atrc/rptguide.htm">http://www.dot.state.az.us/ABOUT/atrc/rptguide.htm</a>. The four-page Research Note serves as an executive summary for the project. It must be written in a concise manner with an emphasis on the research need, project goals, and key results, conclusions and recommendations. ATRC will provide

format samples and guidelines for this document, which will be both printed and posted on the Internet. Contractor is responsible for correction of deficiencies in the Final Report that are identified by the Project's Technical Advisory Committee, the Federal Highway Administration or ATRC. The Project is not considered complete until Report deficiencies are corrected. Contractor should include report preparation and revisions as part of the work scope for this Solicitation. Deliverable: A final report that is acceptable to the TAC, Federal Highway Administration (FHWA) and ATRC.

1.3.7 Task 6: Contractor shall provide a brief presentation to the Research Council or another audience designated by the TAC. This presentation shall include such visual aids (Microsoft PowerPoint is preferred) as necessary or useful in portraying the information content of the research. Copies of the final report and any handouts of other information shall be distributed to members of the Research Council at this presentation. **Deliverable**: A final oral presentation that is acceptable to the TAC.

#### 1.4 <u>Project Researcher Responsibilities</u>

- 1.4.1 Conduct the research in accordance with ATRC guidelines. These guidelines can be found at http://www.dot.state.az.us/ABOUT/atrc/Research/Guidelines html.htm. Copies of previously published illustrating the type found reports of final product required can be http://www.dot.state.az.us/ABOUT/atrc/Publications/SPR/SPR Reports.htm.
- 1.4.2 Respect the confidentiality of the Department's data—using any confidential information solely for the purposes of this research project. No data or reports shall be released to entities not directly involved with the Project without the express prior written approval of ADOT. All media contacts prior to the publication of the final report for the Project, and directly related to the Project, shall be coordinated by ADOT.
- 1.4.3 Submit periodic progress reports that summarize work to date and include a copy of appropriate deliverables (for example, bibliographies, research notes, partially completed text, tables or graphics). Frequency of progress reports shall be determined and mutually agreed upon at the time the work plan is approved.
- 1.4.4 Submit invoices for payment purposes. These invoices shall accompany the periodic progress reports and shall be for amounts commensurate with the deliverables of the project. The Project Manager shall provide sample format for invoices.

#### 1.5 <u>TAC Responsibilities</u>

- 1.5.1 Provide guidance to the project by making timely responses to any relevant questions posed by the Contractor.
- 1.5.2 Assist in obtaining access to any official data, records, or information needed for the research.
- 1.5.3 Review progress reports in a timely manner to provide feedback and ensure that the project stays on course.
- 1.5.4 Review and approve (if satisfactory) the final report.
- 1.5.5 Provide reasonable assistance in the oral presentation to the Research Council or other designated audience.
- 1.5.6 Make the best effort to implement recommendations that would be beneficial to the Department or other government agencies and/or the traveling public.

#### 1.6 Project Manager Responsibilities

The Project Manager shall provide general direction as necessary and be responsible for all decisions pertaining to the work on this project, including processing invoices and reporting progress to TAC.

#### 2.0 UNIFORM TERMS AND CONDITIONS

Incorporated by reference. To obtain a copy of the Uniform Terms and Conditions in full text, you can log on to <a href="http://www.azeps.az.gov/PoliciesDocuments/index.htm">http://www.azeps.az.gov/PoliciesDocuments/index.htm</a> or contact Karie Ingles at (602) 712-8505.

#### 3.0 SPECIAL TERMS AND CONDITIONS

#### 3.1 Term

- 3.1.1 The term of any resultant contract shall commence upon contract execution and shall continue for two (2) years unless terminated, cancelled or extended as otherwise provided herein.
- The Department reserves the **i**ght to unilaterally extend the period of any resultant contract for thirty-one (31) days beyond the stated expiration date.
- In addition, by mutual written agreement, any resultant contract may be extended for supplemental periods up to a maximum of thirty-six (36) months or subject to the budget limitation of \$10,000.00.

#### 3.2 <u>Method of Payment & Funding Limitation</u>

- 3.2.1 All project invoices are paid at 90% of the billed amount. The remaining 10% is withheld until final completion of the project. Payment is made based upon the deliverables identified and completed. The Department reserves the right to withhold payment in the event that adequate documentation of satisfactory progress toward completion of the project has not been received. The Project Manager shall be the judge of the adequacy of documentation and whether progress on the project is satisfactory. The maximum budget for this project is \$10,000.
- 3.2.2 The Contractor shall submit invoices for services rendered to the address as follows:
- 3.2.2.1 Arizona Department of Transportation,

Transportation Research Center Department (Mail Drop 075R)

2739 E. Washington Street Phoenix, AZ 85034-1422 Attention: Mr. John Semmens

#### 3.3 Changes

The Department reserves the right to revise the delivery schedule and make other changes within the general Scope of Work as may be deemed necessary to best serve the interest of the Department. All changes shall be documented by formal amendments to the contract in accordance with A.R.S. 41-2503 (8).

#### 3.4 Accuracy of Work

The Contractor shall be responsible for the accuracy of the work and shall promptly make all necessary revisions or corrections resulting from errors and omissions on the part of the Contractor without additional compensation. Acceptance of the work by the State will not relieve the Contractor of the responsibility for subsequent correction of any such errors and clarification of any ambiguities.

#### 3.5 Review of Contractor's Work

Work performed by the Contractor shall be subject to periodic reviews. The Department reserves the right to make such reviews and pass upon the acceptability of Contractor's work.

#### 3.6 Cancellation for Possession of Weapons on ADOT Property

- 3.6.1 This contract may be cancelled if contractor or any subcontractors or others in the employ or under the supervision of the contractor or subcontractors is found to be in possession of weapons.
- 3.6.2 Possession of weapons (firearms, explosive device, knife or blade of more than three inches, or any other instrument designed for lethal or disabling use) is prohibited on ADOT property pursuant to ADOT Policy, PER 6.04, "Weapons in the Workplace." Such property includes ADOT owned or leased office building, vards, parking lots, construction sites or state owned vehicles.
- Further, if the contractor or any subcontractors or others in the employ or under the supervision of the contractors or subcontractors are asked by an ADOT official to leave the ADOT property, they are advised that failure to comply with such a request shall result in cancellation of the contract and anyone who refuses, whether armed or not, is subject to prosecution under A.R.S. § 13-1502, "Criminal trespass in the third degree; classification."

#### 3.7 Offshore Performance of Work Prohibited

Due to security and identity protection concerns, direct services under this contract shall be performed within the borders of the United States. Any services that are described in the specifications or scope of work that directly serve the State of Arizona or its clients and may involve access to secure or sensitive data or personal client data or development or modification of software for the State shall be performed within the borders of the United States. Unless specifically stated otherwise in the specifications, this definition does not apply to indirect or "overhead" services, redundant back-up services or services that are incidental to the performance of the contract. This provision applies to work performed by subcontractors at all tiers.

#### 3.8 <u>Federal Immigration and Nationality Act</u>

The contractor shall comply with all federal, state and local immigration laws and regulations relating to the immigration status of their employees during the term of the contract. Further, the contractor shall flow down this requirement to all subcontractors utilized during the term of the contract. The State shall retain the right to perform random audits of contractor and subcontractor records or to inspect papers of any employee thereof to ensure compliance. Should the State determine that the contractor and/or any subcontractors be found noncompliant, the State may pursue all remedies allowed by law, including, but not limited to; suspension of work, termination of the contract for default and suspension and/or debarment of the contractor.

#### 3.8 Notices/Correspondence Requirements

- 3.8.1 All correspondence/notices for this contract shall be delivered in person or sent by mail addressed as follows:
- 3.8.1.1 Arizona Department of Transportation
  Procurement Group
  1739 W. Jackson St., Ste. A, MD100P
  Phoenix, Arizona 85007-3276
  Attention: Karie Ingles

#### 4.0 <u>UNIFORM INSTRUCTIONS TO OFFERORS</u>

Incorporated by reference. To obtain a copy of the Uniform Instructions to Offerors in full text, you can log on to <a href="http://www.azeps.az.gov/PoliciesDocuments/index.htm">http://www.azeps.az.gov/PoliciesDocuments/index.htm</a> or contact Karie Ingles at (602) 712-8505.

#### 5.0 SPECIAL OFFER SUBMITTAL INSTRUCTIONS

Complete and return all required information to the location indicated on the solicitation, page one (1) by the time indicated. Responses may be faxed to: (602) 712-8647, Attention: Karie Ingles. Responses must be in writing and signed.

#### 5.2 Required Information

5.2.1 The following shall be completed and submitted concurrent with and as part of the Offer:

Attachment 1 - Offer and Contract Award

Attachment 2 - Price Schedule

Attachment 3 - Offeror's References

Attachment 4 – State of Arizona Substitute W-9

- 5.2.2 Submit cover letter and resume(s) of proposed personnel.
- 5.2.3 Submit an example of the proposed personnel's work product or provide a website where sample can be obtained.
- 5.2.4 Submit transcripts or qualifications of the organization.

#### 5.3 OFFER EVALUATION AND SELECTION

The table below indicates the items and the relative order of importance that shall be given to each factor in the selection of the firm or individual to undertake the research project. The offeror shall submit items 2 through 6 in written form. The project manager shall evaluate the dfers and make a recommendation for award based upon the following evaluation criteria. Those offers determined to be reasonably susceptible of being selected for award shall be invited to interview. If circumstances prevent full execution of the contract, the offeror submitting the next ranked offer will be called. An award will be made to the responsible offeror whose offer is determined to be the most advantageous to the State by the Procurement Officer.

The Project Manager will interview the offeror in person or by telephone. The interview questions to be							
asked are found in Exhibit A of this solicitation. Any other questions that may be asked are strictly limited							
to clarification, as defined below in A.A.C. R2-7-C313, of the offer submitted and to establish that the offeror							
is responsible, as defined below in A.R.S. 41-2531 (14), to undertake this project. No revisions to the offer							
or solicitation will take place.							
Definitions:							
A.A.C. R2-7-C313 – The purpose for clarifications is to provide for a greater mutual understanding of the							
offer. Clarifications are not negotiations and material changes to the request for proposal or offer shall not							
be made by clarification.							
A.R.S. 41-2531 (14) - "Responsible bidder or offeror" means a person who has the capability to perform							
the contract requirements and the integrity and reliability, which will assure good faith performance.							
Provide a sample of offeror's best work on a research project. If possible, this sample should reflect work							
on a related topic or demonstrate the type of skills that would be useful on this project.							
Submit a one-page cover letter briefly describing why offeror should be selected for the job and attach a brief							
résumé listing educational and job experiences.							
Provide three (3) references (including telephone numbers and e-mail addresses) who will vouch for offeror's							
ability and attitude. References are required to complete Attachment 4, References.							
Provide an unofficial copy of college transcripts, <b>OR</b> describe offeror's organizational capabilities,							
background qualifications and experience. Include internal methods proposed for completing projects on							
time and person responsible for schedule.							
Utilizing the Price Sheet, specify a "Firm Fixed Price" for completion of the project. This shall be supported							
by an hourly rate combined with an estimation of how many hours would be required to complete the							
project.							

# ATTACHMENT 1 OFFER AND CONTRACT AWARD



### ARIZONA DEPARTMENT OF TRANSPORTATION

Procurement Group 1739 West Jackson Street, Suite A, Mail Drop 100P Phoenix, Arizona 85007-3276 Phone: (602) 712-7211



#### SOLICITATION NO. T07-49-00014

## Submit this form with an original signature to the State.

### **OFFER** TO THE STATE OF ARIZONA: The bidder hereby offers and agrees to perform in compliance with all terms, conditions, specifications and amendments of this solicitation and any written exceptions in the offer. Signature also acknowledges receipt of all pages indicated in the Table of Contents. Federal Employer Identification For clarification of this offer, contact: No.:\_ **Printed Name** Offeror's (Company) Name **Email Address** Address Company Email Address Signature of Person Authorized to Sign Offer City State Zip Phone Printed Name Date Facsimile Title SMALL BUSINESS CERTIFICATION As a person authorized to represent this offeror, by signing below I certify that the bidding organization is qualified as a small business. A small business means a concern, including its affiliates, which is independently owned and operated, which is not dominant in its field, and which employs fewer than one hundred full-time employees or which had gross annual receipts of less than four million dollars in its last fiscal year (A.R.S. §41-1001). Procurements estimated to cost less than fifty thousand dollars (\$50,000.00) shall be restricted to small businesses in accordance with A.R.S. 41-2535.

ACCEPTANCE OF OFFER AND CONTRACT AWARD (FOR STATE OF ARIZONA USE ONLY)

Signature of Person Authorized to Certify Status as Small Business

Your bid is hereby accepted.	
The contractor is now bound to perform based upon amendments, etc., and the contractor's offer as accepted by the	the solicitation, including all terms, conditions, specifications, ne state.
This contract shall henceforth be referred to as Contract No.	·
SPR 639 Effectiveness of V	arious Pre-Emergent Herbicides
The contractor is hereby cautioned not to commence any bills contract until contractor receives a purchase order.	able work or provide any material, service or construction under this
	State of Arizona
	Awarded this day of 2006
	Varia Ingles, CDDP
^	Karie Ingles, CPPB

## ATTACHMENT 2 PRICE SHEET

## **SOLICITATION NO. T07-49-00014**

COMPLETE THIS FORM IN ACCORDANCE WITH SOLICITATION REQUIREMENTS AND SUBMITTED WITH OFFER:

FIRM FIXED PRICE for research project	\$	Total
Estimated number of hours to complete project		Hours
Hourly Rate	\$	/hour
Company Name		
% Arizona Sales Tax, State & City		
Do not include sales tax in the above figures. Tax will not b	e used in the evalua	ition of bids.
IF PAYMENT IS MADE WITHIN DAYS AFTER RECEIPT OF GOODS OR SEE BY%.	RVICES, THE ABOVE QUOTE	ED PRICE CAN BE DISCOUNTED

## ATTACHMENT 3 OFFEROR'S REFERENCES

## **SOLICITATION NO. T07-49-00014**

Provide a copy of this form to <u>each</u> of three (3) references for completion and inclusion with offer. These references will be checked, please make sure all information is accurate and current.

Off	feror's Name
	Reference Name
	Reference Telephone Number
	Reference Facsimile Number
	Reference E-mail Address
	What is the nature of your relationship with the referenced individual?
	Current or former student
	Current or former employee
	Other (please elaborate)
1.	On a scale of 1 to 10, with 10 being the best, how would you rate this person / firm in terms of their ability to do each of the following: (Explain as necessary.)
	Complete work on schedule
	Take direction
	Prepare a quality written report
	Make a coherent oral presentation
2.	Attitude:
	Excellent: always gives a good effort. Independently achieves good performance.
	Good: usually gives a good effort. Requires some coaching/motivation.
	Fair: gets work done but requires frequent coaching/motivation.
	Poor: unreliable. Does not work to potential.
3.	Is there anything else you can add that would help us evaluate this person's/firm's suitability for the job's

### **ATTACHMENT 4**

DO NOT SEND TO IRS

## STATE OF ARIZONA

DO NOT SEND TO IRS

Vendor MUST Print or Type Information	SUBSTITUTE W-9 & VENDOR AUTHORIZATION FORM  Vendor MUST Print Or Type Information							
• Taxpayer Identi (TIN)	fication Numbe	er		• TIN (EII	N)	Identification Num	• State of	of Arizona HRIS EIN of Arizona Employees ONLY
<ul> <li>Legal Name</li> <li>Must match TIN above</li> </ul>								
• Entity Type Selec	ct one of the following	g			<ul> <li>Minori</li> <li>following</li> </ul>	ty Business I	ndicator	Select one of the
Corporation (NOT providing health care, medical or legal services (5A)  Corporation (providing health care, medical or legal services) (5M)  Partnership, LLP (5T)  Individual/Sole Proprietor (6I)  The US or any or its political subdivisions or instrumentalities (2G)  A state, a possession of the US, or any of their political subdivisions or instrumentalities  An international organization or any of its agencies or instrumentalities (5U)  State of Arizona employee (1E)  Other Non-Tax-Exempt Entity (5P)  Small Business (01)  Small Business – African American (23)  Small Business – Native American (27)  Small Business – Other Minority (05)  Small, Woman Owned Business – Other Minority (05)  Small, Woman Owned Business – African American (30)  Small, Woman Owned Business – Asian (30)  Small, Woman Owned Business – Hispanic (30)  Small, Woman Owned Business – Native American (30)  Small, Woman Owned Business – Native American (30)						(27) 5) 6) American (29) sian (30) ispanic (31) American (33)		
<ul><li>Main Address</li></ul>	Where tax information	ation and general co	rrespondence is to	be mailed	_	Voman Owned Bus n Owned Busine		linority (11)
DBA\Branch\Location					☐ Woma	n Owned Busine n Owned Busine	ess – African <i>i</i>	, ,
Address					☐ Woma	n Owned Busine	ess – Hispanio	(19)
Address continued			Woman Owned Business – Native American (21)  □ Woman Owned Business – Other Minority (08)  □ Minority Owned Business – African American (17)  □ Minority Owned Business – Asian (32)					
City		State	Zip code		☐ Minority Owned Business – Hispanic (74) ☐ Minority Owned Business – Native American (15)			
					☐ Non-Pr	y Owned Busine rofit, IRC §501© nall, Non-Minority	(88)	inority (02) n Owned Business
● Remit to Address ☐ Same as Main ☐ Contact Information								
DBA\Branch\Location					Name			
Address					Phone #			EXT
Address continued					Fax			
City		State	Zip code		Email			
Certification								
Under Penalties of perjury,  1. The number shown on th  2. I am not subject to back am subject to backup withh  AND  3. I am a U.S. person (inclu  Certification instructions. failed to report all interest a  secured property, cancellat required to sign the Certific  The Internal Revenue Ser	ais form is my correctup withholding becanolding as a result of the ding U.S. resident all You must cross out and dividends on you tion of debt, contributed in the dividends on the dividends on you the dividends on you the dividends on you the dividends on you must without you must	use: (a) I am exempt a failure to report a ien). item 2 above if you ir tax return. For reations to an individua provide your correct	t from backup with ill interest or divide have been notified al estate transaction I retirement arrang TIN.	nholding, or (b) ends, or (c) the d by the IRS thens, item 2 does gement (IRA), ar	I have not bee IRS has notifie at you are cur not apply. For id generally, p	en notified by the lead me that I am no rently subject to be mortgage interest ayments other that	longer subject t ackup withholdir t paid, acquisitio n interest and d	o backup withholding  ng because you have on or abandonment of ividends, you are not
Signature			Titl	е			Current Da	
STATE OF ARIZONA	AGENCY USE O	NLY			VEI	NDOR: DO NO	T WRITE BE	LOW THIS LINE
AGY Age	ency Authorization	on		Print Nan	ne		D	at

### **ATTACHMENT 4**

STATE OF ARIZONA <b>GAO</b> U	JSE ONLY			VEN	DOR & STATE AGENCY	/: DO NOT WRITE BE	LOW THIS LINE
☐ IRS TIN Matching	☐ Commission	Corporation	☐ HRIS	Other		☐ Other	
Vendor Number	M	MC Pr	ocessed by			Date Processed	
GAO-W-9 Povisod 03/15/05							

# EXHIBIT A INTERVIEW OUTLINE

## SOLICITATION NO. T07-49-00014

Name
Project
Date
Why do you want to work on this project?
What do you know about pre-emergent herbicides used for roadside week control?
How will you proceed with the research on this project?
What distinguishes you from other candidates for this job?
What is the most important skill or attribute you will bring to this job?
Are there any particular special circumstances or requests (i.e., work schedule, timeframe, task order, etc. you would like to make regarding this project?
Any additional comments you would like to make or items you would like to show that would help ADOT evaluate your capabilities for this job?